



Rec'd PCT/PTO 19 DEC 2005

PATENT

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicants: Tuan Quoc Ly

Serial No.: 10/549,837

Filed: March 16, 2004

For: METHOD FOR THE
PRODUCTION OF METAL
COMPLEXES

Group Art Unit: To be assigned

Examiner: To be assigned

-) I hereby certify that this paper (or fee) is being
deposited with the United States Postal Service,
first class postage prepaid, addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450
) December 14, 2005
)

James P. Zeller
Reg. No. 28,491

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The patents listed on the enclosed Form PTO-1449 are identified pursuant to 37 CFR
§§ 1.56, 1.97, and 1.98. Copies of the patents are submitted as required.

U.S. 2003/0068526 is an English language equivalent of WO 02/44189.

U.S. 6,953,628 is an English language equivalent of WO 02/45466.

Entry and consideration of the submitted documents are solicited.

Respectfully submitted,

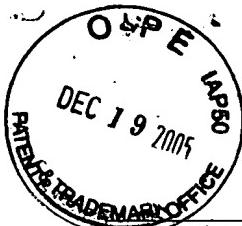
MARSHALL, GERSTEIN & BORUN LLP

By: _____

James P. Zeller
Reg. No. 28,491
Attorneys for Applicants
Customer No. 04743

December 14, 2005

6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6357
(312) 474-6300



Sheet 1 of 2

Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 30698/CDT386	Serial No. 10/549,837
INFORMATION DISCLOSURE STATEMENT		Applicant Tuan Quoc Ly			
		Filing Date March 16, 2004		Group	

U.S. PATENT DOCUMENTS

*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	2002/0024293 A1	02/28/02	Igarashi et al.	313	483	
	2002/0034656 A1	03/21/02	Thompson et al.	428	690	
	2002/0182441 A1	12/05/02	Lamansky et al.	428	690	
	2003/0068526 A1	04/10/03	Kamatani et al.	428	690	
	6,953,628 B2	10/11/05	Kamatani et al.	428	690	11/30/01

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	1 239 526 A2	09/11/02	EP				
	1 348 711 A1	10/01/03	EP				
	1 349 435 A1	10/01/03	EP				
	2002-105055	04/10/02	Japan			Abstract only	
	WO 02/15645 A1	02/21/02	PCT				
	WO 02/44189 A1	06/06/02	PCT			Abstract only	
	WO 02/45466 A1	06/06/02	PCT			Abstract only	



Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 30698/CDT386	Serial No. 10/549,837
INFORMATION DISCLOSURE STATEMENT		Applicant Tuan Quoc Ly		
		Filing Date March 16, 2004	Group	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	“Excited-State Properties of a Triply Ortho-Metalated Iridium (III) Complex”, King et al., J. Am. Chem. Soc., 107, 1985, pp. 1431-1432
	“Facial Tris Cyclometalated Rh ³⁺ and Ir ³⁺ Complexes: Their Synthesis, Structure, and Optical Spectroscopic Properties”, Colombo et al., Inorg. Chem. 33, 1994, pp. 545-550
	“New Efficient Electroluminescent Materials Based on Organometallic Ir Complexes”, Grushin et al., The Royal Society of Chemistry, 2001, pp. 1494-1495
	“Synthesis and Characterization of Phosphorescent Cyclometalated Iridium Complexes”, Lamansky et al., Inorg. Chem., 40, 2001, pp. 1704-1711
	Written Opinion in PCT/GB2004/001106 dated October 12, 2004
	Search Report in GB 0306340.1 dated September 2, 2003

Examiner	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	